

Applicant or Patentee: Ng, Seem George and O'Dowd

Attorney's Docket No.: 8791-4/PAR

Serial or Patent No.: _____

Filed or Issued: _____

For: RECEPTOR AND TRANSPORTER ANTAGONISTS

**VERIFIED STATEMENT (DECLARATION) CLAIMING
SMALL ENTITY STATUS
(37 CFR 1.9(f) and 1.27(b))**

INDEPENDENT INVENTOR

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled RECEPTOR AND TRANSPORTER ANTAGONISTS described in

- [x] the specification filed herewith
[] application serial no. _____, filed _____
[] patent no. _____, issued _____

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contractor law to assign, grant, convey, or license any rights in the invention is listed below:

- [x] no such person, concern, or organization
[] persons, concerns or organizations listed below*

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

FULL NAME _____
ADDRESS _____
[] Individual [] Small Business Concern [] Non-Profit Organization

FULL NAME _____
ADDRESS _____
[] Individual [] Small Business Concern [] Non-Profit Organization

FULL NAME _____
ADDRESS _____
[] Individual [] Small Business Concern [] Non-Profit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that

- willful false statements and the lies made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

GORDON Y.K. NG

PHILIP SEEMAN

SUSAN R. GEORGE

BRIAN F. O'DOWD

Gordon Y.K. Ng *Philip Seeman* *Susan George* *Brian O'Dowd*
SIGNATURE OF INVENTOR SIGNATURE OF INVENTOR SIGNATURE OF INVENTOR SIGNATURE OF INVENTOR

x June 20, 1996 *June 19, 1996* *June 20, 1996* *June 20, 1996*
DATE DATE DATE DATE

08/670119

Atty. Docket No. **PATENT**
SIM-001
(7434/2)

**COMBINED DECLARATION AND POWER OF ATTORNEY
FOR PATENT APPLICATION**

(Original, Design, National Stage of PCT, Supplemental, Divisional, Continuation or CIP)

As a below named inventor, I hereby declare that:

TYPE OF DECLARATION

This declaration is of the following type: (check one applicable item below)

- ☒ original
- ☐ design
- ☐ supplemental
- ☐ national stage of PCT
- ☐ divisional
- ☐ continuation
- ☐ continuation-in-part (CIP)

INVENTORSHIP IDENTIFICATION

My residence, post office address and citizenship are as stated below next to my name, and I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

TITLE OF INVENTION

RECEPTOR AND TRANSPORTER ANTAGONISTS

(Added Page to Combined Declaration and Power of Attorney For Signature By One Joint Inventor on Behalf of Omitted Inventor(s) Who Refuse(s) to Sign or Cannot Be Reached [1-4])

SPECIFICATION IDENTIFICATION

the specification of which (check one):

- ☒ is attached hereto.
- ☐ was filed on _____ as Application Serial No. Q____/____ or
- ☒ Express Mail No. _____, as Serial No. not yet known.
- ☐ was described and claimed in PCT International _____
Application No. _____ filed on _____ and as amended under PCT Article 19 on
_____ (if any).

ACKNOWLEDGMENT OF REVIEW OF PAPERS AND DUTY OF CANDOR

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims as amended by any amendment referred to herein.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

PRIORITY CLAIM

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed.

Check one:

- ☒ no such applications have been filed.
- ☐ such applications have been filed as follows:

CLAIM FOR BENEFIT OF EARLIER U.S./PCT APPLICATION(S)

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application.

(Added Page to Combined Declaration and Power of Attorney For Signature By One Joint Inventor on Behalf of Omitted Inventor(s) Who Refuse(s) to Sign or Cannot Be Reached [1-4])

**PRIOR U.S. APPLICATIONS OR PCT INTERNATIONAL APPLICATIONS
DESIGNATING THE U.S. FOR BENEFIT UNDER 35 USC 120:**

U.S. APPLICATIONS	U.S. FILING DATE	STATUS
60/014,306 (Application Serial No.)	March 27, 1996 (Filing Date)	provisional (Status) (patented, pending, aband.)

POWER OF ATTORNEY

As a named inventor, I hereby appoint the following attorneys and/or agents to prosecute this application and transact all business in the United States Patent and Trademark Office connected therewith:

Steven M. Bauer	Reg. No. <u>31,481</u>
Paula A. Campbell	Reg. No. <u>32,503</u>
Joseph A. Capraro, Jr.	Reg. No. <u>36,471</u>
John J. Cotter	Reg. No. <u>38,116</u>
Loletta L. Darden	Reg. No. <u>37,603</u>
Gillian M. Fenton	Reg. No. <u>36,508</u>
Kerry A. Flynn	Reg. No. <u>33,693</u>
Duncan A. Greenhalgh	Reg. No. <u>38,678</u>
Robin D. Kelley	Reg. No. <u>34,637</u>
Douglas J. Kline	Reg. No. <u>35,574</u>
John D. Lanza	Reg. No. <u>p-40,060</u>
Robin R. Longo	Reg. No. <u>p-40,071</u>
Edmund R. Pitcher	Reg. No. <u>27,829</u>
Kurt Rauschenbach	Reg. No. <u>p-40,137</u>
Gretchen A. Rice	Reg. No. <u>37,429</u>
Christopher W. Stamos	Reg. No. <u>35,370</u>
Robert J. Tosti	Reg. No. <u>35,393</u>
Thomas A. Turano	Reg. No. <u>35,722</u>
Michael J. Twomey	Reg. No. <u>38,349</u>
Christine C. Vito	Reg. No. <u>39,061</u>

20

Direct correspondence to:

Patent Administrator
Testa, Hurwitz & Thibault
High Street Tower
125 High Street
Boston, MA 02110

Direct telephone calls to:

Michael J. Twomey (617) 248-7362

(Added Page to Combined Declaration and Power of Attorney For Signature By One Joint Inventor on Behalf of Omitted Inventor(s) Who Refuse(s) to Sign or Cannot Be Reached [1-4])

DECLARATION

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

SIGNATURE(S)

Gordon Y. K. Ng 1-00

Full name of sole or first inventor

Canada

Citizenship

June 20, 1996

Date

Inventor's signature

38 Elm St #3300, Toronto, ON M5G 2K5 CAX

Residence

Same

Post Office Address

Philip Seeman 2-00

Full name of sole or second inventor (if any)

CANADA

Citizenship

June 19, 1996

Date

Inventor's signature

32 PARKWOOD AVE., TORONTO, ONTARIO, CANADA M4V 2X1. CAX

Residence

Same

Post Office Address

Susan R. George 3-00

Full name of inventor

CANADA

Citizenship

June 20, 1996

Date

Inventor's signature

54 THORNBANK RD., THORNHILL, ONTARIO, CANADA L4J 2A4 CAX

Residence

Same

Post Office Address

Brian F. O'Dowd 4-00

Full name of inventor

CANADA

Citizenship

June 20, 1996

Date

Signature of inventor

229 CATALINA DRIVE, SCARBOROUGH, ONTARIO, CANADA M1E 1B8 CAX

Residence

(Added Page to Combined Declaration and Power of Attorney For Signature By One Joint
Inventor on Behalf of Omitted Inventor(s) Who Refuse(s) to Sign or Cannot Be Reached [1-4])